

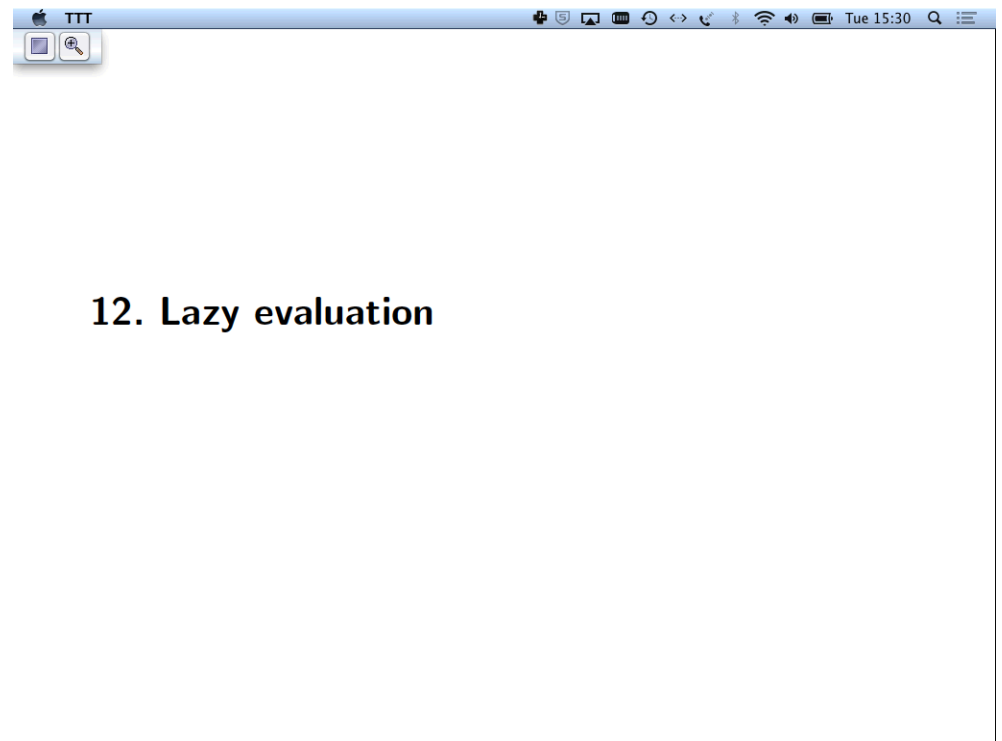
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12. Lazy evaluation



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- Terminates as often as possible
- Supports infinite lists
- Increases modularity



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lazy evaluation („verzögerte Auswertung“)

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Therefore Haskell is called a *lazy functional language*.



Evaluating expressions

Expressions are evaluated (*reduced*) by successively applying definitions until no further reduction is possible.



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Example:

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sq :: Integer -> Integer
sq n = n * n
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One evaluation:

`sq(3+4)`



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One evaluation:

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Any two terminating evaluations of the same Haskell expression lead to the same final result.



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Two evaluations:

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Let n have value 0 initially.

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$$\underline{n} + (n := 1) = 0 + (\underline{n := 1}) = 0 + 1$$



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Any two terminating evaluations of the same Haskell expression lead to the same final result.

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Reduction strategies

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 $\text{sq } (3+4) = \text{sq } 7 = 7 * 7$

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Outermost reduction Always reduce an outermost redex.

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Comparison: termination

Definition:

`loop = tail loop`



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`= fst(1,tail(tail loop))`
`= ...`



Comparison: termination

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Innermost reduction:

$$\begin{aligned} \text{fst } (1, \text{loop}) &= \text{fst}(1, \text{tail loop}) \\ &= \text{fst}(1, \text{tail}(\text{tail loop})) \\ &= \dots \end{aligned}$$

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Theorem If expression e has a terminating reduction sequence, then outermost reduction of e also terminates.



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Outermost reduction:

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Theorem If expression e has a terminating reduction sequence, then outermost reduction of e also terminates.

Outermost reduction terminates as often as possible



Why is this useful?

Example

Can build your own control constructs:

```
switch :: Int -> a -> a -> a
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switch :: Int -> a -> a -> a
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Can build your own control constructs:

```
switch :: Int -> a -> a -> a
switch n x y
  | n > 0      = x
  | otherwise  = y

fac :: Int -> Int
fac n = switch n (n * fac(n-1)) 1
```



Comparison: Number of steps

Innermost reduction:

$$\text{sq } (3+4) = \text{sq } 7 = 7 * 7 = 49$$


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Comparison: Number of steps

Innermost reduction:

$$\text{sq}(3+4) = \text{sq } 7 = 7 * 7 = 49$$

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More outermost than innermost steps!



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$$\text{sq}(3+4) = \text{sq } 7 = 7 * 7 = 49$$

Outermost reduction:

$$\text{sq}(3+4) = (3+4)*(3+4) = 7*(3+4) = 7*7 = 49$$

More outermost than innermost steps!

How can outermost reduction be improved?

Sharing!



$$\text{sq}(3+4) = \bullet * \bullet$$



$$\text{sq}(3+4) = \bullet * \bullet = \bullet * \bullet$$



$$\text{sq}(3+4) = \bullet * \bullet = \bullet * \bullet = 49$$

$\swarrow \searrow$ $\swarrow \searrow$
 $3+4$ 7

The expression 3+4 is only evaluated *once!*



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Lazy evaluation := outermost reduction + sharing



$$\text{sq}(3+4) = \bullet * \bullet = \bullet * \bullet = 49$$

$\swarrow \searrow$ $\swarrow \searrow$
 $3+4$ 7

The expression 3+4 is only evaluated *once!*

Lazy evaluation := outermost reduction + sharing

Theorem

Lazy evaluation never needs more steps than innermost reduction.



The principles of lazy evaluation:

- Arguments of functions are evaluated only if needed to continue the evaluation of the function.



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- Each argument is evaluated at most once (sharing!)



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- Each argument is evaluated at most once (sharing!)



Pattern matching

Example

```
f :: [Int] -> [Int] -> Int
f []      ys      = 0
f (x:xs) []      = 0
f (x:xs) (y:ys) = x+y
```



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Lazy evaluation:

```
f [1..3] [7..9]
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Lazy evaluation:

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f [1..3] [7..9]           -- does f.1 match?
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Lazy evaluation:

```
f [1..3] [7..9]           -- does f.1 match?
= f (1 : [2..3]) [7..9]
```



Pattern matching

Example

```
f :: [Int] -> [Int] -> Int
f []      ys      = 0
f (x:xs) []      = 0
f (x:xs) (y:ys) = x+y
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Lazy evaluation:

```
f [1..3] [7..9]           -- does f.1 match?
= f (1 : [2..3]) [7..9]   -- does f.2 match?
```



Pattern matching

Example

```
f :: [Int] -> [Int] -> Int
f []     ys     = 0
f (x:xs) []     = 0
f (x:xs) (y:ys) = x+y
```

Lazy evaluation:

```
f [1..3] [7..9]           -- does f.1 match?
= f (1 : [2..3]) [7..9]   -- does f.2 match?
= f (1 : [2..3]) (7 : [8..9]) -- does f.3 match?
```



Pattern matching

Example

```
f :: [Int] -> [Int] -> Int
f []     ys     = 0
f (x:xs) []     = 0
f (x:xs) (y:ys) = x+y
```

Lazy evaluation:

```
f [1..3] [7..9]           -- does f.1 match?
= f (1 : [2..3]) [7..9]   -- does f.2 match?
= f (1 : [2..3]) (7 : [8..9]) -- does f.3 match?
= 1+7
= 8
```



Guards

Example

```
f m n p | m >= n && m >= p = m
        | n >= m && n >= p = n
        | otherwise         = p
```



Guards

Example

```
f m n p | m >= n && m >= p = m
        | n >= m && n >= p = n
        | otherwise         = p
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Lazy evaluation:

```
f (2+3) (4-1) (3+9)
```



Guards

Example

```
f m n p | m >= n && m >= p = m
        | n >= m && n >= p = n
        | otherwise         = p
```

Lazy evaluation:

```
f (2+3) (4-1) (3+9)
? 2+3 >= 4-1 && 2+3 >= 3+9
```



Guards

Example

```
f m n p | m >= n && m >= p = m
        | n >= m && n >= p = n
        | otherwise         = p
```

Lazy evaluation:

```
f (2+3) (4-1) (3+9)
? 2+3 >= 4-1 && 2+3 >= 3+9
? = 5 >= 3 && 5 >= 3+9
```



Guards

Example

```
f m n p | m >= n && m >= p = m
        | n >= m && n >= p = n
        | otherwise         = p
```

Lazy evaluation:

```
f (2+3) (4-1) (3+9)
? 2+3 >= 4-1 && 2+3 >= 3+9
? = 5 >= 3 && 5 >= 3+9
? = True && 5 >= 3+9
? = 5 >= 3+9
? = 5 >= 12
? = False
? 3 >= 5 && 3 >= 12
```



Guards

Example

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f m n p | m >= n && m >= p = m
        | n >= m && n >= p = n
        | otherwise         = p
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Lazy evaluation:

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f (2+3) (4-1) (3+9)
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? = 5 >= 3+9
? = 5 >= 12
? = False
? 3 >= 5 && 3 >= 12
? = False && 3 >= 12
? = False
? otherwise = True
```



Guards

Example

```
f m n p | m >= n && m >= p = m
        | n >= m && n >= p = n
        | otherwise       = p
```

Lazy evaluation:

```
f (2+3) (4-1) (3+9)
  ? 2+3 >= 4-1 && 2+3 >= 3+9
  ? = 5 >= 3 && 5 >= 3+9
  ? = True && 5 >= 3+9
  ? = 5 >= 3+9
  ? = 5 >= 12
  ? = False
  ? 3 >= 5 && 3 >= 12
  ? = False && 3 >= 12
  ? = False
  ? otherwise = True
= 12
```



where

Same principle: definitions in `where` clauses are only evaluated when needed and only as much as needed.



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Lambda

Haskell never reduces inside a lambda



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Example: `\x -> False && x` cannot be reduced



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Reasons:

- Functions are black boxes



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Lambda

Haskell never reduces inside a lambda

Example: `\x -> False && x` cannot be reduced

Reasons:

- Functions are black boxes
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Example:

`(\x -> False && x) True = False && True = False`



Built-in functions

Arithmetic operators and other built-in functions evaluate their arguments first



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Example

`3 * 5` is a redex



Built-in functions

Arithmetic operators and other built-in functions evaluate their arguments first

Example

`3 * 5` is a redex

`0 * head (...)` is not a redex



Predefined functions from Prelude

They behave like their Haskell definition:

```
(&&) :: Bool -> Bool -> Bool
```

```
True  && y = y
```

```
False && y = False
```



Slogan

Lazy evaluation evaluates an expression only when needed
and only as much as needed.



Slogan

Lazy evaluation evaluates an expression only when needed
and only as much as needed.
(*“Call by need”*)



12.1 Applications of lazy evaluation



Minimum of a list

```
min = head . inSort
```



Minimum of a list

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min = head . inSort

inSort :: Ord a => [a] -> [a]
inSort []      = []
inSort (x:xs) = ins x (inSort xs)
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ins :: Ord a => a -> [a] -> [a]
ins x []      = [x]
ins x (y:ys) | x <= y    = x : y : ys
              | otherwise = y : ins x ys
```



Minimum of a list

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inSort :: Ord a => [a] -> [a]
inSort []      = []
inSort (x:xs) = ins x (inSort xs)

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              | otherwise = y : ins x ys

=> inSort [6,1,7,5]
   = ins 6 (ins 1 (ins 7 (ins 5 [])))
```



```
min [6,1,7,5] = head(inSort [6,1,7,5])
```



```
min [6,1,7,5] = head(inSort [6,1,7,5])
= head(ins 6 (ins 1 (ins 7 (ins 5 []))))
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Minimum of a list

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min = head . inSort

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=> inSort [6,1,7,5]
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```
min [6,1,7,5] = head(inSort [6,1,7,5])
= head(ins 6 (ins 1 (ins 7 (ins 5 []))))
```



```
min [6,1,7,5] = head(inSort [6,1,7,5])
= head(ins 6 (ins 1 (ins 7 (ins 5 []))))
= head(ins 6 (ins 1 (ins 7 (5 : []))))
```



```
min [6,1,7,5] = head(inSort [6,1,7,5])
= head(ins 6 (ins 1 (ins 7 (ins 5 []))))
= head(ins 6 (ins 1 (ins 7 (5 : []))))
= head(ins 6 (ins 1 (5 : ins 7 [])))
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min [6,1,7,5] = head(inSort [6,1,7,5])
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min [6,1,7,5] = head(inSort [6,1,7,5])
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= head(ins 6 (ins 1 (5 : ins 7 [])))
= head(ins 6 (1 : 5 : ins 7 []))
= head(1 : ins 6 (5 : ins 7 []))
```



```

min [6,1,7,5] = head(inSort [6,1,7,5])
= head(ins 6 (ins 1 (ins 7 (ins 5 []))))
= head(ins 6 (ins 1 (ins 7 (5 : []))))
= head(ins 6 (ins 1 (5 : ins 7 [])))
= head(ins 6 (1 : 5 : ins 7 []))
= head(1 : ins 6 (5 : ins 7 []))
= 1

```



```

min [6,1,7,5] = head(inSort [6,1,7,5])
= head(ins 6 (ins 1 (ins 7 (ins 5 []))))
= head(ins 6 (ins 1 (ins 7 (5 : []))))
= head(ins 6 (ins 1 (5 : ins 7 [])))
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= head(1 : ins 6 (5 : ins 7 []))
= 1

```

Lazy evaluation needs only linear time



```

min [6,1,7,5] = head(inSort [6,1,7,5])
= head(ins 6 (ins 1 (ins 7 (ins 5 []))))
= head(ins 6 (ins 1 (ins 7 (5 : []))))
= head(ins 6 (ins 1 (5 : ins 7 [])))
= head(ins 6 (1 : 5 : ins 7 []))
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= 1

```

Lazy evaluation needs only linear time
although inSort is quadratic



```

min [6,1,7,5] = head(inSort [6,1,7,5])
= head(ins 6 (ins 1 (ins 7 (ins 5 []))))
= head(ins 6 (ins 1 (ins 7 (5 : []))))
= head(ins 6 (ins 1 (5 : ins 7 [])))
= head(ins 6 (1 : 5 : ins 7 []))
= head(1 : ins 6 (5 : ins 7 []))
= 1

```

Lazy evaluation needs only linear time
although inSort is quadratic
because the sorted list is never constructed completely



```
min [6,1,7,5] = head(inSort [6,1,7,5])
= head(ins 6 (ins 1 (ins 7 (ins 5 []))))
= head(ins 6 (ins 1 (ins 7 (5 : [])))
= head(ins 6 (ins 1 (5 : ins 7 [])))
= head(ins 6 (1 : 5 : ins 7 []))
= head(1 : ins 6 (5 : ins 7 []))
= 1
```

Lazy evaluation needs only linear time
although `inSort` is quadratic
because the sorted list is never constructed completely

Warning: this depends on the exact algorithm and does not work
so nicely with all sorting functions!



Maximum of a list

```
max = last . inSort
```

Complexity?



12.2 Infinite lists



Example

A recursive definition

```
ones :: [Int]
ones = 1 : ones
```




But Haskell can compute with infinite lists, thanks to lazy evaluation:

```
> head ones  
1
```

Remember:

Lazy evaluation evaluates an expression only as much as needed



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Remember:

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Outermost reduction: $\text{head ones} = \text{head } (1 : \text{ones}) = 1$



But Haskell can compute with infinite lists, thanks to lazy evaluation:

```
> head ones  
1
```

Remember:

Lazy evaluation evaluates an expression only as much as needed

Outermost reduction: $\text{head ones} = \text{head } (1 : \text{ones}) = 1$

Innermost reduction:

```
head ones  
= head (1 : ones)  
= head (1 : 1 : ones)  
= ...
```



Haskell lists are never actually infinite but only potentially infinite



Haskell lists are never actually infinite but only potentially infinite
Lazy evaluation computes as much of the infinite list as needed

This is how partially evaluated lists are represented internally:

1 : 2 : 3 : code pointer to compute rest



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Lazy evaluation computes as much of the infinite list as needed

This is how partially evaluated lists are represented internally:

1 : 2 : 3 : code pointer to compute rest

In general: finite prefix followed by code pointer



Why (potentially) infinite lists?



Why (potentially) infinite lists?

- They come for free with lazy evaluation
- They increase modularity:
list producer does not need to know
how much of the list the consumer wants



Example: The sieve of Eratosthenes

- 1 Create the list 2, 3, 4, ...



Example: The sieve of Eratosthenes

- 1 Create the list 2, 3, 4, ...
- 2 Output the first value p in the list as a prime.
- 3 Delete all multiples of p from the list
- 4 Goto step 2



Example: The sieve of Eratosthenes

- 1 Create the list 2, 3, 4, ...
- 2 Output the first value p in the list as a prime.
- 3 Delete all multiples of p from the list
- 4 Goto step 2

2 3 4 5 6 7 8 9 10 11 12 ...



In Haskell:

```
primes :: [Int]
primes = sieve [2..]

sieve :: [Int] -> [Int]
sieve (p:xs) = p : sieve [x | x <- xs,
```



In Haskell:

```
primes :: [Int]
primes = sieve [2..]

sieve :: [Int] -> [Int]
sieve (p:xs) = p : sieve [x | x <- xs, x `mod` p /= 0]
```



In Haskell:

```
primes :: [Int]
primes = sieve [2..]

sieve :: [Int] -> [Int]
sieve (p:xs) = p : sieve [x | x <- xs, x `mod` p /= 0]
```

Lazy evaluation:

```
primes = sieve [2..] = sieve (2:[3..])
```



In Haskell:

```
primes :: [Int]
primes = sieve [2..]

sieve :: [Int] -> [Int]
sieve (p:xs) = p : sieve [x | x <- xs, x `mod` p /= 0]
```

Lazy evaluation:

```
primes = sieve [2..] = sieve (2:[3..])
= 2 : sieve [x | x <- [3..], x `mod` 2 /= 0]
```



In Haskell:

```
primes :: [Int]
primes = sieve [2..]

sieve :: [Int] -> [Int]
sieve (p:xs) = p : sieve [x | x <- xs, x `mod` p /= 0]
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Lazy evaluation:

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primes = sieve [2..] = sieve (2:[3..])
= 2 : sieve [x | x <- [3..], x `mod` 2 /= 0]
= 2 : sieve [x | x <- 3:[4..], x `mod` 2 /= 0]
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= ...
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Modularity!



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The first 10 primes:

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> take 10 primes  
[2,3,5,7,11,13,17,19,23,29]
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The primes between 100 and 150:

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> takeWhile (<150) (dropWhile (<100) primes)
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> [(p,q) | (p,q) <- zip primes (tail primes), p+2==q]
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Primality test?

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> 101 'elem' primes
True

> 102 'elem' primes
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prime n = n == head (dropWhile (<n) primes)
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Sharing!

There is only one copy of primes



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Every time part of primes needs to be evaluated

Example: when computing take 5 primes

primes is (invisibly!) updated to remember the evaluated part

Example: primes = 2 : 3 : 5 : 7 : 11 : sieve ...



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Example: now primes !! 2 needs only 3 steps

Nothing special, just the automatic result of sharing



The list of Fibonacci numbers

Idea: 0 1 1 2 ...



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+ 0 1 1 ...



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Idea: 0 1 1 2 ...
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From Prelude: zipWith



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fibs :: [Integer]
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How about

```
fibs = 0 : 1 : [x+y | x <- fibs, y <- tail fibs]
```



Hamming numbers



Game tree

```
data Tree p v = Tree p v [Tree p v]
```

Separates move computation and valuation from move selection



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```
chessTree = gameTree ...
```



```
minimax :: Ord v => Int -> Bool -> Tree p v -> v
```



```
minimax :: Ord v => Int -> Bool -> Tree p v -> v
minimax d player1 (Tree p v ts) =
  if d == 0 || null ts then v
  else let vs = map (minimax (d-1) (not player1)) ts
        in if player1 then maximum vs else minimum vs
```

```
> minimax 3 True chessTree
```

Generates chessTree up to level 3

```
> minimax 4 True chessTree
```

Needs to search 4 levels, but only level 4 needs to be generated



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