

Script generated by TTT

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...

$$\begin{aligned} \llbracket h \rrbracket^\sharp &= \{1, 2\} \\ \llbracket t \rrbracket^\sharp &= \{[2], []\} \\ \llbracket \text{app } t \rrbracket^\sharp &= \\ \llbracket \text{app } [1; 2] \rrbracket^\sharp &= \{\text{fun } y \rightarrow y, \text{fun } y \rightarrow h :: \text{app } \dots\} \\ \llbracket \text{app } t y \rrbracket^\sharp &= \\ \llbracket \text{app } [1; 2] [3] \rrbracket^\sharp &= \{[3], h :: \text{app } \dots\} \end{aligned}$$

Values $c e_1 \dots e_k$, (e_1, \dots, e_k) or operator applications $e_1 \square e_2$ now are interpreted as recursive calls $c \llbracket e_1 \rrbracket^\sharp \dots \llbracket e_k \rrbracket^\sharp$, $(\llbracket e_1 \rrbracket^\sharp, \dots, \llbracket e_k \rrbracket^\sharp)$ or $\llbracket e_1 \rrbracket^\sharp \square \llbracket e_2 \rrbracket^\sharp$, respectively.

\implies regular tree grammar

Expressions are evaluated w.r.t. an environment $\eta : \text{Vars} \rightarrow \text{Values}$.

The Big-Step operational semantics provides rules to infer the value to which an expression is evaluated w.r.t. a given environment, i.e., deals with statements of the form:

$$(e, \eta) \implies v$$

Values:

$$(b, \eta) \implies b$$

$$(\text{fun } x \rightarrow e, \eta) \implies (\text{fun } x \rightarrow e, \eta)$$

$$(e_1, \eta) \implies v_1 \dots (e_k, \eta) \implies v_k$$

$$(c e_1 \dots e_k, \eta) \implies c v_1 \dots v_k$$

Operator applications are treated analogously!

... in the Example:

We obtain for $A = \llbracket \text{app } t y \rrbracket^\sharp$:

$$A \rightarrow [3] \mid \llbracket h \rrbracket^\sharp :: A$$

$$\llbracket h \rrbracket^\sharp \rightarrow 1 \mid 2$$

Let $\mathcal{L}(e)$ denote the set of terms derivable from $\llbracket e \rrbracket^\sharp$ w.r.t. the regular tree grammar. Thus, e.g.,

$$\mathcal{L}(h) = \{1, 2\}$$

$$\mathcal{L}(\text{app } t y) = \{[a_1; \dots, a_r; 3] \mid r \geq 0, a_i \in \{1, 2\}\}$$

4.3 An Operational Semantics

Idea:

We construct a **Big-Step** operational semantics which evaluates expressions w.r.t. an environment η :-)

Values are of the form:

$$v ::= b \mid c v_1 \dots c_k \mid (v_1, \dots, v_k) \mid (\text{fun } x \rightarrow e, \eta)$$

Examples for Values:

$$\begin{aligned} &c 1 \\ [1; 2] &= :: 1 \ (:: 2 \ []) \\ (\text{fun } x \rightarrow x::y, \{y \mapsto [5]\}) \end{aligned}$$

Expressions are evaluated w.r.t. an **environment** $\eta : \text{Vars} \rightarrow \text{Values}$.

The **Big-Step** operational semantics provides rules to infer the value to which an expression is evaluated w.r.t. a given environment, i.e., deals with statements of the form:

$$(e, \eta) \Rightarrow v$$

Values:

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$$(e_1, \eta) \Rightarrow v_1 \dots (e_k, \eta) \Rightarrow v_k$$

$$(c e_1 \dots e_k, \eta) \Rightarrow c v_1 \dots v_k$$

Operator applications are treated analogously!

$$(e_1, \eta) \Rightarrow v_1 \dots (e_k, \eta) \Rightarrow v_k$$

$$((e_1, \dots, e_k), \eta) \Rightarrow (v_1, \dots, v_k)$$

Global Definition:

fun y →

$$\frac{\text{let rec } \dots x = e \dots \text{ in } \dots \\ (e, \emptyset) \Rightarrow v}{(x, \eta) \Rightarrow v}$$

Function Application:

$$(e_1, \eta) \Rightarrow (\text{fun } x \rightarrow e, \eta_1)$$

$$(e_2, \eta) \Rightarrow v_2$$

$$(e, \eta_1 \oplus \{x \mapsto v_2\}) \Rightarrow v_3$$

$$(e_1 e_2, \eta) \Rightarrow v_3$$

Case Distinction 1:

$$\frac{\begin{array}{l} (e, \eta) \Rightarrow b \\ (e_i, \eta) \Rightarrow v \end{array}}{(\text{match } e \text{ with } p_1 \rightarrow e_1 \mid \dots \mid p_k \rightarrow e_k, \eta) \Rightarrow v}$$

if $p_i \equiv b$ is the first pattern which matches b :-)

Case Distinction 2:

$$\frac{\begin{array}{l} (e, \eta) \Rightarrow c v_1 \dots v_k \\ (e_i, \eta \oplus \{z_1 \mapsto v_1, \dots, z_k \mapsto v_k\}) \Rightarrow v \end{array}}{(\text{match } e \text{ with } p_1 \rightarrow e_1 \mid \dots \mid p_k \rightarrow e_k, \eta) \Rightarrow v}$$

if $p_i \equiv c z_1 \dots z_k$ is the first pattern which matches $c v_1 \dots v_k$:-)

Case Distinction 3:

$$\frac{\begin{array}{l} (e, \eta) \Rightarrow (v_1, \dots, v_k) \\ (e_i, \eta \oplus \{y_1 \mapsto v_1, \dots, y_k \mapsto v_k\}) \Rightarrow v \end{array}}{(\text{match } e \text{ with } p_1 \rightarrow e_1 \mid \dots \mid p_k \rightarrow e_k, \eta) \Rightarrow v}$$

if $p_i \equiv (y_1, \dots, y_k)$ is the first pattern which matches (v_1, \dots, v_k) :-)

Case Distinction 4:

$$\frac{\begin{array}{l} (e, \eta) \Rightarrow v' \\ (e_i, \eta \oplus \{x \mapsto v'\}) \Rightarrow v \end{array}}{(\text{match } e \text{ with } p_1 \rightarrow e_1 \mid \dots \mid p_k \rightarrow e_k, \eta) \Rightarrow v}$$

if $p_i \equiv x$ is the first pattern which matches v' :-)

Local Definitions:

$$\frac{\begin{array}{l} (e_1, \eta) \implies v_1 \\ (e_0, \eta \oplus \{x_1 \mapsto v_1\}) \implies v_0 \end{array}}{(\text{let } x_1 = e_1 \text{ in } e_0, \eta) \implies v_0}$$

Variables:

$$(x, \eta) \implies \eta(x)$$

Correctness of the Analysis:

For every (e, η) occurring in a proof for the program, it should hold:

- If $\eta(x) = v$, then $[v] \Delta \mathcal{L}(x)$.
- If $(e, \eta) \implies v$, then $[v] \Delta \mathcal{L}(e)$...
- where $[v]$ is the **stripped** expression corresponding to v , i.e., obtained by removing all environments, and
- $v \Delta L$ iff $v \in L$ or L has an expression v' which evaluates to v .

Conclusion:

$\mathcal{L}(e)$ returns a **superset** of the values to which e is evaluated :-)

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Conclusion:

$\mathcal{L}(e)$ returns a **superset** of the values to which e is evaluated :-)

4.4 Application: Inlining

Problem:

- **global variables**. The program:

```

let x = 1
in let f = let x = 2
      in fun y -> y + x
in f x

```

... computes something else than:

```

let x = 1
in let f = let x = 2
      in fun y → y + x
in let y = x
    in y + x

```

- recursive functions. In the definition:

```

foo = fun y → foo y

```

foo should better not be substituted :-)

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Idea 1:

- First, we introduce **unique** variable names.
- Then, we only substitute functions which are **staticly** within the scope of the **same** global variables as the application :-)
- For every expression, we determine all function definitions with this property :-)

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let x = 1
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```

In all other cases, D is propagated to the sub-expressions unchanged :-)

... in the Example:

```

let x = 1
in let f = let x1 = 2
           in fun y → y + x1
in f x

```

... the application $f x$ is not in the scope of x_1

⇒ we first duplicate the definition of x_1 :

Let $D = D[e]$ denote the set of definitions which statically arrive at e .

- If $e \equiv \text{let } x_1 = e_1 \text{ in } e_0$ then:

$$D[e_1] = D$$

$$D[e_0] = D \cup \{x_1\}$$

item If $e \equiv \text{fun } x \rightarrow e_1$ then:

$$D[e_1] = D \cup \{x\}$$

- Similarly, for $e \equiv \text{match } \dots c x_1 \dots x_k \rightarrow e_i \dots,$

$$D[e_i] = D \cup \{x_1, \dots, x_k\}$$

```

let x = 1
in let x1 = 2
in let f = let x1 = 2
           in fun y → y + x1
in f x

```

⇒ the inner definition becomes redundant !!!

```

let x = 1
in let x1 = 2
in let f = fun y → y + x1
in let y = x
in y + x1

```

Removing **variable-variable**-assignments, we arrive at:

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```

let x = 1
in let x1 = 2
in let f = fun y → y + x1
in x + x1

```

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Idea 2:

- We apply our value analysis.
- We **ignore** global variables :-)
- We only substitute functions **without** free variables :-))

Example: The **map**-Function

```

let rec f = fun x → x · x
and map = fun g → fun x → match x
with [] → []
| x::xs → g x :: map g xs
in map f list

```

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```

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- The actual parameter `f` in the application `map g` is always `fun x → x · x :-)`
- Therefore, `map g` can be specialized to a new function `h` defined by:

```

h = let g = fun x → x · x
    in fun x → match x
      with [] → []
         | x::xs → g x :: map g xs
  
```

Handwritten annotations: A yellow arrow points from the `map g` in the body to the `h` in the lambda. Red handwritten `h` and `h xs` are next to the `map g` and `xs` respectively.

The inner occurrence of `map g` can be replaced with `h`

⇒ fold-Transformation :-)

```

h = let g = fun x → x · x
    in fun x → match x
      with [] → []
         | x::xs → g x :: h xs
  
```

Handwritten annotations: A red box encloses the `match` expression. A red arrow points from the `h` in the lambda to the `h xs` in the body. Another red arrow points from the `h` in the lambda to the `h` in the body.

Inlining the function `g` yields:

```

h = let g = fun x → x · x
    in fun x → match x
      with [] → []
         | x::xs → (let x = x
                    in x * x) :: h xs
  
```

Inlining the function `g` yields:

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h = let g = fun x → x · x
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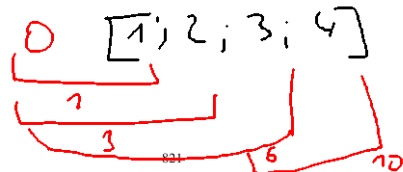

Removing useless definitions and variable-variable assignments yields:

```
h = fun x → match x
    with [] → []
         | x::xs → x * x :: h xs
```

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```
filter = fun p → fun l → match l with [] → []
         | x::xs → if px then x :: filter p xs
                   else filter p xs)
```

```
foldl = fun f → fun a → fun l → match l with [] → a
         | x::xs → foldl f (f a x) xs)
```



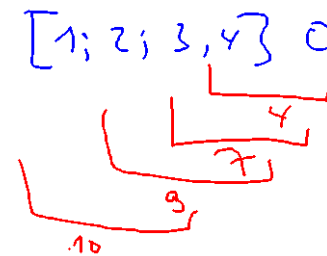
4.5 Deforestation

- Functional programmers love to collect intermediate results in lists which are processed by higher-order functions.
- Examples of such higher-order functions are:

```
map = fun f → fun l → match l with [] → []
     | x::xs → f x :: map f xs)
```

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for foldl f e a =
 match l with [] → e
 | x::xs → f x (foldl f xs e)



foldl (::) [] list = rev list

↑

foldl

```
id    = fun x → x

comp  = fun f → fun g → fun x → f (g x)

comp1 = fun f → fun g → fun x1 → fun x2 →
      f (g x1) x2

comp2 = fun f → fun g → fun x1 → fun x2 →
      f x1 (g x2)
```

Example:

```
sum    = foldl (+) 0
length = let f = map (fun x → 1)
         in comp sum f
dev    = fun l → let s1 = sum l
                  n    = length l
                  mean = s1/n
                  l1  = map (fun x → x - mean) l
                  l2  = map (fun x → x · x) l1
                  s2  = sum l2
         in s2/n
```

Observations:

- Explicit recursion does no longer occur!
- The implementation creates unnecessary intermediate data-structures!

length could also be implemented as:

```
length = let f = fun a → fun x → a + 1
         in foldl f 0
```

- This implementation avoids to create intermediate lists !!!

Example:

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sum  = foldl (+) 0
length = let f = map (fun x → 1)
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```

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Simplification Rules:

```

comp id f           = comp f id = f
comp1 f id        = comp2 f id = f
map id              = id
comp (map f) (map g) = map (comp f g)
comp (foldl f a) (map g) = foldl (comp2 f g) a

```

825

Simplification Rules:

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map id              = id
comp (map f) (map g) = map (comp f g)
comp (foldl f a) (map g) = foldl (comp2 f g) a
comp (filter p1) (filter p2) = filter (fun x → if p2 x then p1 x
                                                else false)
comp (foldl f a) (filter p) = let h = fun a → fun x → if p x then f a x
                                                else a
                             in foldl h a

```

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comp (foldl f a) (filter p) = let h = fun a → fun x → if p x then f a x
                               else a
                               in foldl h a

```

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Warning:

Function compositions also could occur as nested function calls ...

```

id x           = x
map id l       = l
map f (map g l) = map (comp f g) l
foldl f a (map g l) = foldl (comp2 f g) a l
filter p1 (filter p2 l) = filter (fun x → p1 x ∧ p2 x) l
foldl f a (filter p l) = let h = fun a → fun x → if p x then f a x
                               else a
                               in foldl h a l

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827

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Example, optimized:

```

sum  = foldl (+) 0
length = let f = comp2 (+) (fun x → 1)
         in foldl f 0
dev  = fun l → let s1 = sum l
                n   = length l
                mean = s1/n
                f    = comp (fun x → x · x)
                       (fun x → x - mean)
                g    = comp2 (+) f
                s2 = foldl g 0 l
         in s2/n

```

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Example, optimized:

```

sum  = foldl (+) 0
length = let f = comp2 (+) (fun x → 1)
         in foldl f 0
dev  = fun l → let s1 = sum l
                n   = length l
                mean = s1/n
                f    = comp (fun x → x · x)
                       (fun x → x - mean)
                g    = comp2 (+) f
                s2 = foldl g 0 l
         in s2/n

```

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Example:

```

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Remarks:

- All intermediate lists have disappeared :-)
- Only `foldl` remain — i.e., loops :-))
- Compositions of functions can be further simplified in the next step by [Inlining](#).
- Inside `dev`, we then obtain:

```

g = fun a → fun x → let x1 = x - mean
                    x2 = x1 · x1
                    in a + x2

```

- The result is a sequence of `let`-definitions !!!

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```

- The result is a sequence of **let**-definitions !!!

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Extension: Tabulation

If the list has been created by tabulation of a function, the creation of the list sometimes can be avoided ...

```
tabulate' = fun j → fun f → fun n →
            if j ≥ n then []
            else (f j) :: tabulate' (j + 1) f n
```

```
tabulate = tabulate' 0
```

$[f\ 0; \dots; f\ (n-1)]$

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Then we have:

```
comp (map f) (tabulate g) = tabulate (comp f g)
comp (foldl f a) (tabulate g) = loop (comp2 f g) a
```

where:

```
loop' = fun j → fun f → fun a → fun n →
        if j ≥ n then a
        else loop' (j + 1) f (f a j) n
loop = loop' 0
```

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Extension (2): List Reversals

Sometimes, the ordering of lists or arguments is reversed:

```
rev' = fun a → fun l →
        match l with [] → a
        | x :: xs → rev' (x :: a) xs
```


```
rev = rev' []
```

```
comp rev rev = id
```

```
swap = fun f → fun x → fun y → f y x
```

```
comp swap swap = id
```

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$$\text{foldr } f \ a = \text{comp } (\text{foldl } (\text{swap } f) \ a) \ \text{rev}$$


Discussion:

- The standard implementation of `foldr` is not tail-recursive.
- The last equation decomposes a `foldr` into two tail-recursive functions — at the price that an intermediate list is created.
- Therefore, the standard implementation is probably faster :-)
- Sometimes, the operation `rev` can also be optimized away ...

We have:

$$\begin{aligned} \text{comp rev } (\text{map } f) &= \text{comp } (\text{map } f) \ \text{rev} \\ \text{comp rev } (\text{filter } p) &= \text{comp } (\text{filter } p) \ \text{rev} \\ \text{comp rev } (\text{tabulate } f) &= \text{rev_tabulate } f \end{aligned}$$

Here, `rev_tabulate` tabulates in reverse ordering. This function has properties quite analogous to `tabulate`:

$$\begin{aligned} \text{comp } (\text{map } f) \ (\text{rev_tabulate } g) &= \text{rev_tabulate } (\text{comp}_2 \ f \ g) \\ \text{comp } (\text{foldl } f \ a) \ (\text{rev_tabulate } g) &= \text{rev_loop } (\text{comp}_2 \ f \ g) \ a \end{aligned}$$